Table of Changes to Statement of Principles Current vs Draft



Page	Amended Statement of Principles	Page	Current Statement of Principles		
	Part A - In	trodu			
p4 1.5	Our consultation took place between 10 August 2009 and 30 October 2009 and we followed the HM Government Code of Practice on consultation (published July 2008) which is available at: http://www.berr.gov.uk/files/file47158.pdf No comments were made during the consultation period. Each case will be decided upon its own merits. This authority will not apply a rigid rule to its decision-making. It will consider the	1.6	Our consultation took place between 1st September 2006 and 24th November 2006 Practice (which came into effect in April 2004) and the Cabinet Office Guidance or documents are available via: < <u>http://www.cabinetoffice.gov.uk/regulation/consultation/code/index.asp></u> < <u>http://www.cabinetoffice.gov.uk/regulation/consultation/documents/pdf/code.pdf></u> Each case will be decided upon its own merits. This authority will not apply a rigid ru		
р7 5.2	examples of considerations provided in the Gambling Commission's Guidance for local authorities at 8.11 and 8.19. It will also consider the Gambling Commission's Guidance that "has business interests" should be given the widest possible interpretation and include partnerships, charities, faith groups and medical practices.	p7	examples of considerations provided in the Gambling Commission's Guidance for lo consider the Gambling Commission's Guidance that "has business interests" should be include partnerships, charities, faith groups and medical practices.		
	Deleted, no longer relevant	р7 5.3	The Gambling Commission recommended in its Guidance that the licensing authorit associations and trade unions, and residents' and tenants' associations (Gambling Com It is understood that the Gambling Commission has subsequently stated that this is a n and noted on its website. However, this authority emphasises that it will not generally vit they have a member who can be classed as an interested person under the terms of close to the premises to be likely to be affected by the activities being applied for.		
p8 6.3	Should any protocols be established as regards information exchange with other bodies then they will be made available.	р9 6.3	Should any protocols be established as regards information exchange with other Discussions with the Gambling Commission and LACORS as regards information ex authorities are, at the time of writing, at an early stage.		
p9 7.3	This licensing authority has adopted and implemented a risk-based inspection programme, based on; The licensing objectives Relevant codes of practice 	р9 7.3	This licensing authority will also, as recommended by the Gambling Commission's (based inspection programme. Whilst the Gambling Commission's Guidance suggests t respect are included in this statement, this has not been possible. At the time of published its risk criteria, nor are regulations such as mandatory/default conditions pu working with the Gambling Commission to produce a risk model for premises licence once it is made available.		
	Guidance issued by the Gambling Commission, in particular at Part 36 The principles act out in this statement of licensing particular				
	The principles set out in this statement of licensing policy Deleted, no longer relevant	10 8.3	The Gambling Commission has recommended that licensing authorities include a statements. LACORS has requested a definitive list from the Gambling Commission statement once provided.		
	Part B - Premises Licences				
p11 3.1	Premises is defined in the Act as "any place". Section 152 therefore prevents more than one premises licence applying to any place. However, it is possible for a single building to be subject to more than one premises licence, provided they are for different parts of the building and the different parts of the building can be reasonably regarded as being different premises. This approach has been taken to allow large, multiple unit premises such as a pleasure park, pier, track or shopping mall to obtain discrete premises licences, where appropriate safeguards are in place. However, licensing authorities should pay particular attention if there are issues about sub-divisions of a single building or plot and should ensure that mandatory conditions relating to access between premises are observed.	t p11 2.1	Premises is defined in the Act as "any place". Different premises licences cannot appl times. However, it is possible for a single building to be subject to more than one prer parts of the building and the different parts of the building can be reasonably rega different parts of a building can properly be regarded as being separate premises circumstances. However, the Gambling Commission does not consider that areas of separate can be properly regarded as different premises.		
	The Gambling Commission states in the third edition of its Guidance to Licensing Authorities that: "In most cases the expectation is that a single building / plot will be the subject of an application for a licence, for example, 32 High Street. But, that does not mean 32 High Street cannot be the subject of separate premises licences for the basement and ground floor, if they are configured acceptably. Whether different parts of a building can properly be regarded as being separate premises will depend on the circumstances. The location of the premises will clearly be an important consideration and the suitability of the division is likely to be a matter for discussion between the operator and the licensing officer. However, the Commission does not consider that areas of a building that are artificially or temporarily separated, for example by ropes or moveable partitions, can properly be regarded as different premises."	2 2 2 2 2 2 3	Not present in original Statement of Principles.		
	 The third licensing objective seeks to protect children from being harmed by gambling. In practice that means not only preventing them from taking part in gambling, but also preventing them from being in close proximity to gambling. Therefore premises should be configured so that children are not invited to participate in, have accidental access to or closely observe gambling where they are prohibited from participating. Customers should be able to participate in the activities named on the premises licence. 	e	Not present in original Statement of Principles.		

APPENDIX 2

06 date and we followed the Revised Code of on consultations by the public sector. These

rule to its decision-making. It will consider the local authorities at 8.14 and 8.15. It will also be given the widest possible interpretation and

ority state that interested parties include trade commission Guidance for local authorities 8.17). a mistake in its Guidance which will be rectified view these bodies as interested parties unless of the Gambling Act 2005 i.e. lives sufficiently

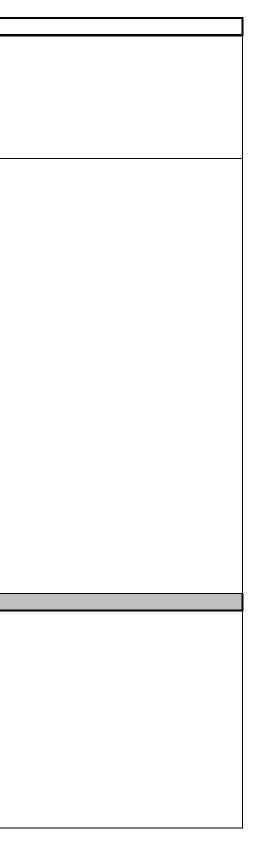
her bodies then they will be made available. exchange between the Commission and local

s Guidance for local authorities, adopt a riskts that the criteria the authority will utilise in this of writing the Gambling Commission has not published, nor Codes of Practice. LACORS is nces and this authority will consider that model

a list of licensable activities in their policy on and this will be incorporated into this policy

pply in respect of a single premises at different remises licence, provided they are for different garded as being different premises. Whether ses will always be a question of fact in the of a building that are artificially or temporarily

Page	Amended Statement of Principles	Page	Current Statement of Principles
i aye		i aye	
	 The Guidance also gives a list of factors which the licensing authority should be aware of, which may include: Do the premises have a separate registration for business rates? Is the premises' neighbouring premises owned by the same person or someone else? Can each of the premises be accessed from the street or a public passageway? Can the premises only be accessed from any other gambling premises? 		Not present in original Statement of Principles.
	 The Gambling Commission's relevant access provisions for each premises type are reproduced below: 3.6.1 (para. 7.25) Casinos The principal access entrance to the premises must be from a street (as defined at 7.23 of the Guidance) No entrance to a casino must be from premises that are used wholly or mainly by children and/or young persons No customer must be able to enter a casino directly from any other premises which holds a gambling premises licence 3.6.2 Adult Gaming Centre No customer must be able to access the premises directly from any other licensed gambling premises 3.6.3 Betting Shops Access must be from a street (as per para 7.23 Guidance to Licensing Authorities) or from another premises with a betting premises licence No direct access from a betting shop to another premises used for the retail sale of merchandise or services. In effect there cannot be an entrance to a betting shop from a shop of any kind and you could not have a betting shop at the back of a café – the whole area would have to be licensed. 3.6.4 Tracks No customer must be able to access the premises directly from: a casino an adult gaming centre a betting premises, other than a track 3.6.6 Family Entertainment Centre No customer must be able to access the premises directly from: a casino an adult gaming centre a betting premises, other than a track 2.6.7 Family Entertainment Centre No customer must be able to access the premises directly from: a casino an adult gaming centre a betting premises, other than a track 		Not present in original Statement of Principles.
	Part B - Premises	ready f	for Gambling
p14			
4.1 4.2 4.3	 Premises "ready for gambling" The Guidance states that a licence to use premises for gambling should only be issued in relation to premises that the licensing authority can be satisfied are going to be ready to be used for gambling in the reasonably near future, consistent with the scale of building or alterations required before the premises are brought into use. If the construction of a premises is not yet complete, or if they need alteration, or if the applicant does not yet have a right to occupy them, then an application for a provisional statement should be made instead. In deciding whether a premises licence can be granted where there are outstanding construction or alteration works at a premises, this authority will determine applications on their merits, applying a two stage consideration process: First, whether the premises ought to be permitted to be used for gambling Second, whether appropriate conditions can be put in place to cater for the situation that the premises are not yet in the state in which they ought to be before gambling takes place. Applicants should note that this authority is entitled to decide that it is appropriate to grant a licence subject to conditions, but it is not obliged to grant such a licence. More detailed examples of the circumstances in which such a licence may be granted can be found at paragraphs 7.59-7.66 of the Guidance. 		Not present in original Statement of Principles.



Page	Amended Statement of Principles	Page	Current Statement of Principles
	Part B - I	Planni	ng
	 Planning The Gambling Commission Guidance to Licensing Authorities states: (para. 7.59) – In determining applications the licensing authority has a duty to take into consideration all relevant matters and not to take into consideration any irrelevant matters, i.e. those not related to gambling and the licensing objectives. One example of an irrelevant matter would be the likelihood of the applicant obtaining planning permission or building regulations approval for their proposal. This authority will not take into account irrelevant matters as per the above guidance. In addition this authority notes the following 		Not present in original Statement of Principles.
6.2	(para. 7.66) - When dealing with a premises licence application for finished buildings, the licensing authority should not take into account whether those buildings have or comply with the necessary planning or building consents. Those matters should be dealt with under relevant planning control and building regulation powers, and not form part of the consideration for the premises licence. Section 210 of the 2005 Act prevents licensing authorities taking into account the likelihood of the proposal by the applicant obtaining planning or building consent when considering a premises licence application. Equally the grant of a gambling premises licence does not prejudice or prevent any action that may be appropriate under the law relating to planning or building.		
	Part B - Duplication with	other	
p15 7.1	Duplication with other regulatory regimes This licensing authority seeks to avoid any duplication with other statutory / regulatory systems where possible, including planning. This authority will not consider whether a licence application is likely to be awarded planning permission or building regulations approval, in its consideration of it. It will though, listen to, and consider carefully, any concerns about conditions which are not able to be met by licensees due to planning restrictions, should such a situation arise When dealing with a premises licence application for finished buildings, this authority will not take into account whether those	p13	Duplication with other regulatory regimes This licensing authority will seek to avoid any duplication with other statutory / re planning. This authority will not consider whether a licence application is likely to regulations approval, in its consideration of it. It will though, listen to, and consider ca are not able to be met by licensees due to planning restrictions, should such a situation
p15 7.2	buildings have to comply with the necessary planning or buildings consents. Fire or health and safety risks will not be taken into account, as these matters are dealt with under relevant planning control, buildings and other regulations and must not form part of the consideration for the premises licence		
	NA		
	Part B - Licens	sing Ol	bjectives
p16 8.1	Licensing Objective 1 - Preventing gambling from being a source of crime or disorder, being associated with crime or disorder or being used to support crime. This licensing authority is aware that the Gambling Commission <i>takes a</i> leading role	p13	Licensing Objective 1 - Preventing gambling from being a source of crime or disorder or being used to support crime. This licensing authority is aware that the Gambling Commission <i>will be taking</i> a leadin
p16 8.2	Licensing Objective 2 - Ensuring that gambling is conducted in a fair and open way	p13 7	Licensing Objective 2 - Ensuring that gambling is conducted in a fair and open wa This licensing authority has noted that the Gambling Commission has stated that it wo
p17	This licensing authority has noted that the Gambling Commission states that it generally does not expect licensing authorities to be concerned with ensuring that gambling is conducted in a fair and open way as this will be addressed via operating and personal licences.		to become concerned with ensuring that gambling is conducted in a fair and open way personal licences. There is however, more of a role with regard to tracks which is expl below – page 19 This licensing authority will consider this licensing objective on a case-by-case basis.
1 017		p14	I I his licensing authority will consider this licensing objective on a case-by-case basis.

/ regulatory systems where possible, including to be awarded planning permission or building er carefully, any concerns about conditions which tion arise.

e or disorder, being associated with crime or

ading role.....

way would generally not expect licensing authorities way as this will be addressed via operating and explained in more detail in the 'tracks' section

sis. Should a practical definition prove possible in

Page	Amended Statement of Principles	Page	Current Statement of Principles
	Part B - Door	r Supe	rvisors
p18 10.1	The Gambling Commission advises in its Guidance for licensing authorities that if a licensing authority is concerned that a premises may attract disorder or be subject to attempts at unauthorised access (for example by children and young persons) then it may require entrances to the premises are controlled by a door supervisors in order to protect of children and vulnerable persons from being harmed or exploited by gambling, and also in terms of preventing premises becoming a source of crime. The licensing authority is entitled, under those circumstances, to impose a premises licence condition to this effect.	r	The Gambling Commission advises in its Guidance for local authorities that licensin need for door supervisors in terms of the licensing objectives of protection of children exploited by gambling, and also in terms of preventing premises becoming a source of
p18 10.2	Where operators and licensing authorities decide that supervision of entrances/machines is appropriate for particular cases it will need to be decided whether these supervisors need to be SIA licensed or not. It will not be automatically assumed that they need to be, as the statutory requirements for different types of premises vary (as per Guidance, Part 33)		<u>Where</u> operators and licensing authorities decide that supervision of entrances/ma need to be decided whether these need to be SIA licensed or not. It will not be autom
	Part B - Adult G	Samin	g Centres
p19 11.2	This licensing authority may consider measures to meet the licensing objectives such as:		This licensing authority may consider measures to meet the licensing objectives such
	Part B - Licensed Family		ertainment Centres
p19 12.2	This licensing authority may consider measures to meet the licensing objectives such as:	p16 12.2	This licensing authority may consider measures to meet the licensing objectives such
	Part B -	Casin	os
	paragraph deleted - not relevant	p17 13.2	Casinos and competitive bidding - This licensing authority is aware that where a premises licence for a new style casino (i.e. the Secretary of State has made such Act 2005) there are likely to be a number of operators which will want to run the casin a 'competition' under Schedule 9 of the Gambling Act 2005. This licensing authorit regulations/codes of practice issued under the Gambling Act 2005.
	Part B - Bing	-	emises
p20 14.2	(paragraph 18.4) Licensing authorities will need to satisfy themselves that bingo can be played in any bingo premises for which they issue a premises licence. This will be a relevant consideration where the operator of an existing bingo premises applies to vary their licence to exclude an area of the existing premises from its ambit and then applies for a new premises licence, or multiple licences, for that or those excluded areas.		
p20 14.3	This authority also notes the Guidance at paragraph 18.8 regarding the unusual circumstances in which the splitting of a pre- existing premises into two adjacent premises might be permitted, and in particular that it is not permissible to locate sixteen category B3 gaming machines in one of the resulting premises, as the gaming machine entitlement for that premises would be exceeded.		Not present in original statement of principles
p20 14.4	(paragraph 18.7) Children and young people are allowed into bingo premises; however they are not permitted to participate in the bingo and if category B or C machines are made available for use these must be separated from areas where children and young people are allowed.		Not present in original statement of principles
	Part B -	-	ks
p21 16.4	This licensing authority may consider measures to meet the licensing objectives such as:	p19 16.4	This licensing authority <u>may consider</u> measures to meet the licensing objectives such Gaming machines -Further guidance from the Gambling Commission is awaited as re-
p21 16.5	<i>Gaming machines</i> Where the applicant holds a pool betting operating licence and is going to use his entitlement to four gaming machines, machines (other than category D machines) should be located in areas from which children are excluded. Children and young persons are not prohibited from playing category D gaming machines on a track.	p20 16.5	on tracks and any special considerations that should apply in relation, for example, to children from playing them. This licensing authority notes the Commission's Guidance consider the location of gaming machines at tracks, and applications for track premis where the applicant holds a pool betting operating licence and is going to use his entit machines are locate in areas from which children are excluded. Children and young p category D gaming machines on a track.
p21 16.6	under 18 to bet) or by vulnerable people, when considering the number/nature/circumstances of betting machines an operator wants to offer.	16.6	Betting machines - This licensing authority will, as per the Gambling Commission premises and the ability of staff to monitor the use of the machines by children and y 18 to bet) or by vulnerable people, when considering the number/nature/circumstar offer. It will also take note of the Gambling Commission's suggestion that licensing number and location of such machines in respect of applications for track betting pre-
p22 16.7	Applications and plans - The Gambling Act (s51) requires applicants to submit plans of the premises with their application, in order to ensure that the licensing authority has the necessary information to make an informed judgement about whether the premises are fit for gambling. The plan will also be used for the licensing authority to plan future premises inspection activity. (See Guidance to Licensing Authorities, para 20.28).	2	Not present in original statement of principles
p22 16.8	Plans for tracks do not need to be in a particular scale, but should be drawn to scale and should be sufficiently detailed to include the information required by regulations. (See Guidance to Licensing Authorities, para 20.29).		Not present in original statement of principles

ing authorities may consider whether there is a n and vulnerable persons from being harmed or of crime.

achines is appropriate for particular cases it will natically assumed that they need to be.

h as:

as:

a licensing authority area is enabled to grant a regulations under Section 175 of the Gambling sino. In such situations the local authority will run rity will run such a competition in line with any

<u>s stated that it</u> is going to issue further guidance relation to the suitability and layout of bingo available.

n as:

regards where such machines may be located o supervision of the machines and preventing that licensing authorities therefore need to see licences will need to demonstrate that, titlement to four gaming machines, these persons are not prohibited from playing

I's Guidance, take into account the size of the young persons (it is an offence for those under nees of betting machines an operator wants to authorities will want to consider restricting the mises licences.

Page	Amended Statement of Principles	Page	Current Statement of Principles
p22 16.9	Some tracks may be situated on agricultural land where the perimeter is not defined by virtue of an outer wall or fence, such as point-to-point racetracks. In such instances, where an entry fee is levied, track premises licence holders may erect temporary structures to restrict access to premises (See Guidance to Licensing Authorities, para 20.31).		Not present in original statement of principles
p22 16.10	In the rare cases where the outer perimeter cannot be defined, it is likely that the track in question will not be specifically designed for the frequent holding of sporting events or races. In such cases betting facilities may be better provided through occasional use notices where the boundary premises do not need to be defined. (See Guidance to Licensing Authorities, para 20.32).		Not present in original statement of principles
10.11	This authority appreciates that it is sometimes difficult to define the precise location of betting areas on tracks. The precise location of where betting facilities are provided is not required to be shown on track plans, both by virtue of the fact that betting is permitted anywhere on the premises and because of the difficulties associated with pinpointing exact locations for some types of track. Applicants should provide sufficient information that this authority can satisfy itself that the plan indicates the main areas where betting might take place. For racecourses in particular, any betting areas subject to the "five times rule" (commonly known as betting rings) must be indicated on the plan. (See Guidance to Licensing Authorities, para 20.33).		Not present in original statement of principles
	Part B - Provisio		
p23 18.1	Developers may wish to apply to this authority for provisional statements before entering into a contract to buy or lease property or land to judge whether a development is worth taking forward in light of the need to obtain a premises licence. There is no need for the applicant to hold an operating licence in order to apply for a provisional statement.	p21	This licensing authority notes the Guidance for the Gambling Commission which sta whether premises are finished to a degree that they can be considered for a premises I complete ensures that the authority could, if necessary, inspect it fully".
p23 18.2	S204 of the Gambling Act provides for a person to make an application to the licensing authority for a provisional statement in respect of premises that he or she:	p21 18.2	In terms of representations about premises licence applications, following the gr representations from relevant authorities or interested parties can be taken into accound not have been addressed at the provisional statement stage, or they reflect a change the authority may refuse the premises licence (or grant it on terms different to those a reference to matters:
	 expects to be constructed; expects to be altered; or expects to acquire a right to occupy. 	(a) (b)	Which could not have been raised by objectors at the provisional licence stage; or Which is in the authority's opinion reflect a change in the operator's circumstances.
			This authority has noted the Gambling Commission's guidance that: "A licensing authority should not take into account irrelevant matters. One exam likelihood of the applicant obtaining planning permission or building regulations
p23 18.3	The process for considering an application for a provisional statement is the same as that for a premises licence application. The applicant is obliged to give notice of the application in the same way as applying for a premises licence. Responsible authorities and interested parties may make representations and there are rights of appeal.		Not present in original statement of principles
p23 18.4	In contrast to the premises licence application, the applicant does not have to hold or have applied for an operating licence from the Gambling Commission (except in the case of a track) and they do not have to have a right to occupy the premises in respect of which their provisional application is made.		Not present in original statement of principles
p23 18.5	The holder of a provisional statement may then apply for a premises licence once the premises are constructed, altered or acquired. The licensing authority will be constrained in the matters it can consider when determining the premises licence application and in terms of representations about premises licence applications that follow the grant of a provisional statement, no further representations from relevant authorities or interested parties can be taken into account unless:		Not present in original statement of principles
	 where the premises has not been constructed in accordance with the plan submitted with the application. This must be a substantial change to the plan and this licensing authority notes that it can discuss any concerns it has with the applicant before making a decision. 		Not present in original statement of principles

states that "It is a question of fact and degree s licence" and that "Requiring the building to be
grant of a provisional statement, no further count unless they concern matters which could ge in the applicant's circumstances. In addition, a attached to the provisional statement) only by
ample of an irrelevant matter would be the as approval for the proposal."

Page	Amended Statement of Principles	Page	Current Statement of Principles
	Part B -	Review	WS
р24 19.2	Once a valid application for a review has been received by the licensing authority, representations can be made by responsible authorities and interested parties during a 28 day period. This period begins 7 days after the application was received by the licensing authority, who will publish notice of the application within 7 days of receipt.	e	Not present in original statement of principles
19.3	The licensing authority must carry out the review as soon as possible after the 28 day period for making representations has passed.		Not present in original statement of principles
19.4	 The purpose of the review will be to determine whether the licensing authority should take any action in relation to the licence. If action is justified, the options open to the licensing authority are:- add, remove or amend a licence condition imposed by the licensing authority; exclude a default condition imposed by the Secretary of State or Scottish Ministers (e.g. opening hours) or remove or amend such an exclusion; suspend the premises licence for a period not exceeding three months; and revoke the premises licence. 	Ł	Not present in original statement of principles
	In determining what action, if any, should be taken following a review, the licensing authority must have regard to the principles set out in section 153 of the Act, as well as any relevant representations.		Not present in original statement of principles
p24 19.6	In particular, the licensing authority may also initiate a review of a premises licence on the grounds that a premises licence holder has not provided facilities for gambling at the premises. This is to prevent people from applying for licences in a speculative manner without intending to use them.		Not present in original statement of principles
p25 19.7	 Once the review has been completed, the licensing authority must, as soon as possible, notify its decision to: the licence holder the applicant for review (if any) the Commission any person who made representations the chief officer of police or chief constable; and Her Majesty's Commissioners for Revenue and Customs 		Not present in original statement of principles
	Part C - (Alcohol) Licensed premises gaming	machi	
p29 2.6	Notifications and applications for any number of machines will be dealt with by licensing authority officers.	p26 2.6	Notifications and applications for two or three machines will generally be dealt with by five machines will be determined by officers in consultation with the Chair of the Lice applications for five or more machines will be referred to a Licensing sub-Committee of
	Part C - Tempor	rary Us	se Notices
5.1	Temporary Use Notices allow the use of premises for gambling where there is no premises licence but where a gambling operator wishes to use the premises temporarily for providing facilities for gambling. Premises that might be suitable for a Temporary Use Notice, according the Gambling Commission, would include hotels, conference centres and sporting venues.	e	Not present in original statement of principles
5.2	The licensing authority can only grant a Temporary Use Notice to a person or company holding a relevant operating licence, i.e. a non-remote casino operating licence.		Not present in original statement of principles
p32 5.3	The Secretary of State has the power to determine what form of gambling can be authorised by Temporary Use Notices, and at the time of writing this Statement the relevant regulations (SI no 3157: The Gambling Act 2005 (Temporary Use Notices) Regulations 2007) state that Temporary Use Notices can only be used to permit the provision of facilities or equal chance gaming, where the gaming is intended to produce a single winner, which in practice means poker tournaments.	s	Not present in original statement of principles
p32 5.5	This licensing authority expects to object to notices where it appears that their effect would be to permit regular gambling in a place that could be described as one set of premises, as recommended in the Gambling Commission's Guidance to Licensing Authorities.		Not present in original statement of principles

by licensing authority officers. Those for four or
icensing (Licensing Act 2003) Committee, and
of councillors.